

§6080. Confidentiality

Information confidentiality and disclosure is governed by this section. [PL 2023, c. 662, §2 (NEW).]

1. Confidentiality and prohibited disclosure. Except as otherwise provided in subsection 2, all information or reports obtained by the administrator from an applicant for a license, licensee or authorized delegate and all information contained in or related to an examination, investigation, operating report or condition report prepared by, on behalf of or for the use of the administrator, or financial statements, balance sheets or authorized delegate information, are confidential and are not subject to disclosure under Title 1, chapter 13.

[PL 2023, c. 662, §2 (NEW).]

2. Authorized disclosure. The administrator may disclose information not otherwise subject to disclosure under subsection 1 to representatives of state or federal agencies who certify in a record that they will maintain the confidentiality of the information or if the administrator finds that the release is reasonably necessary for the protection and interest of the public.

[PL 2023, c. 662, §2 (NEW).]

3. Licensees. This section does not prohibit the administrator from disclosing to the public a list of all licensees or the aggregated financial or transactional data concerning those licensees.

[PL 2023, c. 662, §2 (NEW).]

4. Public information. Information contained in the records of the bureau that is not confidential and may be made available to the public either on the bureau's publicly accessible website, upon receipt by the bureau of a written request, or in NMLS includes:

A. The name, business address, telephone number and unique identifier of a licensee; [PL 2023, c. 662, §2 (NEW).]

B. The business address of a licensee's registered agent for service; [PL 2023, c. 662, §2 (NEW).]

C. The name, business address and telephone number of each authorized delegate; [PL 2023, c. 662, §2 (NEW).]

D. The terms of or a copy of a bond filed by a licensee, as long as confidential information, including but not limited to prices and fees for that bond, is redacted; [PL 2023, c. 662, §2 (NEW).]

E. Copies of nonconfidential final orders of the bureau relating to a violation of this Act or rules implementing this Act; and [PL 2023, c. 662, §2 (NEW).]

F. Imposition of an administrative fine or penalty under this Act. [PL 2023, c. 662, §2 (NEW).]
[PL 2023, c. 662, §2 (NEW).]

SECTION HISTORY

PL 2023, c. 662, §2 (NEW).

The State of Maine claims a copyright in its codified statutes. If you intend to republish this material, we require that you include the following disclaimer in your publication:

All copyrights and other rights to statutory text are reserved by the State of Maine. The text included in this publication reflects changes made through the Second Regular Session of the 131st Maine Legislature and is current through January 1, 2025. The text is subject to change without notice. It is a version that has not been officially certified by the Secretary of State. Refer to the Maine Revised Statutes Annotated and supplements for certified text.

The Office of the Revisor of Statutes also requests that you send us one copy of any statutory publication you may produce. Our goal is not to restrict publishing activity, but to keep track of who is publishing what, to identify any needless duplication and to preserve the State's copyright rights.

PLEASE NOTE: The Revisor's Office cannot perform research for or provide legal advice or interpretation of Maine law to the public. If you need legal assistance, please contact a qualified attorney.