PLEASE NOTE: Legislative Information *cannot* perform research, provide legal advice, or interpret Maine law. For legal assistance, please contact a qualified attorney.

Amend the bill by striking out the title and substituting the following:

'An Act Regarding Mental Health Treatment'

Amend the bill by striking out everything after the title and before the summary and inserting the following:

'Emergency preamble. Whereas, acts and resolves of the Legislature do not become effective until 90 days after adjournment unless enacted as emergencies; and

Whereas, provisions of law related to progressive treatment programs for certain persons with mental illness will be repealed on July 1, 2010; and

Whereas, it is necessary to extend the progressive treatment programs law and make related amendments to the laws; and

Whereas, that extension and the related changes might not take effect on July 1, 2010 unless enacted as emergency measures; and

Whereas, in the judgment of the Legislature, these facts create an emergency within the meaning of the Constitution of Maine and require the following legislation as immediately necessary for the preservation of the public peace, health and safety; now, therefore,

Be it enacted by the People of the State of Maine as follows:

Sec. 1. 15 MRSA §393, sub-§1, ¶**E,** as enacted by PL 2007, c. 670, §6, is amended to read:

E. Has been:

- (1) Committed involuntarily to a hospital pursuant to an order of the District Court under Title 34B, section 3864 because the person was found to present a likelihood of serious harm, as defined under Title 34B, section 3801, subsection -4-4A, paragraphs A to C;
- (2) Found not criminally responsible by reason of insanity with respect to a criminal charge; or
- (3) Found not competent to stand trial with respect to a criminal charge.

Sec. 2. 25 MRSA §1541, sub-§3, ¶**C,** as enacted by PL 2007, c. 670, §16, is amended to read:

C. The commanding officer shall report to the Federal Bureau of Investigation, National Instant Criminal Background Check System a court's finding, upon the commanding officer's receipt of an abstract from a court that a person has been:

- (1) Committed involuntarily to a hospital pursuant to an order of the District Court under Title 34B, section 3864 because the person was found to present a likelihood of serious harm, as defined under Title 34B, section 3801, subsection -4-4-A, paragraphs A to D;
- (2) Found not criminally responsible by reason of insanity with respect to a criminal charge; or
- (3) Found not competent to stand trial with respect to a criminal charge.

The commanding officer may adopt rules to implement the requirements of this paragraph. Rules adopted pursuant to this paragraph are routine technical rules, as defined in Title 5, chapter 375, subchapter 2A.

- **Sec. 3. 34-B MRSA §3801, sub-§4,** as amended by PL 2005, c. 519, Pt. BBBB, §§1 and 2 and affected by §20, is repealed.
 - **Sec. 4. 34-B MRSA §3801, sub-§4-A** is enacted to read:
 - **4-A. Likelihood of serious harm.** "Likelihood of serious harm" means:
 - A. A substantial risk of physical harm to the person as manifested by recent threats of, or attempts at, suicide or serious self-inflicted harm;
 - B. A substantial risk of physical harm to other persons as manifested by recent homicidal or violent behavior or by recent conduct placing others in reasonable fear of serious physical harm;
 - C. A reasonable certainty that the person will suffer severe physical or mental harm as manifested by recent behavior demonstrating an inability to avoid risk or to protect the person adequately from impairment or injury; or
 - <u>D</u>. For the purposes of section 3873-A, in view of the person's treatment history, current behavior and inability to make an informed decision, a reasonable likelihood that the person's mental health will deteriorate and that the person will in the foreseeable future pose a likelihood of serious harm as defined in paragraphs A, B or C.
 - Sec. 5. 34-B MRSA §3801, sub-§4-B is enacted to read:
- **4-B.** Medical practitioner. "Medical practitioner" or "practitioner" means a licensed physician, registered physician assistant, certified psychiatric clinical nurse specialist, certified nurse practitioner or licensed clinical psychologist.
 - **Sec. 6. 34-B MRSA §3801, sub-§5,** as enacted by PL 1983, c. 459, §7, is amended to read:
- **5. Mentally ill person.** "Mentally ill person" means a person having a psychiatric or other disease which that substantially impairs his that person's mental health, including or creates a substantial risk of suicide. "Mentally ill person" includes persons suffering from the effects of from the use of

drugs, narcotics, hallucinogens or intoxicants, including alcohol, but not including mentally retarded or sociopathic persons. A person with developmental disabilities or a person diagnosed as a sociopath is not for those reasons alone a mentally ill person.

- **Sec. 7. 34-B MRSA §3801, sub-§7,** as amended by PL 2007, c. 319, §2, is further amended to read:
- **7. Patient.** "Patient" means a person under observation, care or treatment in a psychiatric hospital or residential care facility pursuant to this subchapter, a person receiving services from an assertive community treatment team, a person receiving intensive mental health management services from the department or a person being evaluated for emergency admission under section 3863 in a hospital emergency department.
- **Sec. 8. 34-B MRSA §3801, sub-§7-A,** as enacted by PL 2005, c. 519, Pt. BBBB, §3 and affected by §20, is amended to read:
- **7-A. Progressive treatment program.** "Progressive treatment program" or "program" means a program of court-ordered services provided to participants under section <u>38733873A</u>.
 - **Sec. 9. 34-B MRSA §3801, sub-§7-B,** as enacted by PL 2007, c. 319, §3, is amended to read:
 - **7-B. Psychiatric hospital.** "Psychiatric hospital" means:
 - A. A state mental health institute; or
 - B. A nonstate mental health institution:; or
 - C. A designated nonstate mental health institution.
- **Sec. 10. 34-B MRSA §3831, sub-§6,** as amended by PL 2007, c. 319, §6, is further amended to read:
- **6. Adults with advance health care directives.** An adult with an advance health care directive authorizing psychiatric hospital treatment may be admitted on an informal voluntary basis if the conditions specified in the advance health care directive for the directive to be effective are met in accordance with the method stated in the advance health care directive or, if no such method is stated, as determined by a physician or a psychologist. If no conditions are specified in the advance health care directive as to how the directive becomes effective, the person may be admitted on an informal voluntary basis if the person has been determined to be incapacitated pursuant to Title 18A, Article 5, Part 8. A person may be admitted only if the person does not at the time object to the admission or, if the person does object, if the person has directed in the advance health care directive that admission to the psychiatric hospital may occur despite that person's objections. The duration of the stay in the psychiatric hospital of a person under this subsection may not exceed 5 working days. If at the end of that time the chief administrative officer of the psychiatric hospital recommends further hospitalization of the person, the chief administrative officer shall proceed in accordance with section 3863, subsection 55A.

This subsection does not create an affirmative obligation of a psychiatric hospital to admit a person consistent with the person's advance health care directive. This subsection does not create an affirmative obligation on the part of the psychiatric hospital or treatment provider to provide the treatment consented to in the person's advance health care directive if the physician or psychologist evaluating or treating the person or the chief administrative officer of the psychiatric hospital determines that the treatment is not in the best interest of the person.

- **Sec. 11. 34-B MRSA §3862, sub-§1,** as amended by PL 2007, c. 178, §1, is further amended to read:
- 1. Law enforcement officer's power. If a law enforcement officer has reasonable groundsprobable cause to believe, based upon probable cause, that a person may be mentally ill and that due to that condition the person presents a threat of imminent and substantial physical harm to that person or to other persons, or if a law enforcement officer knows that a person has an advance health care directive authorizing mental health treatment and the officer has reasonable groundsprobable cause to believe, based upon probable cause, that the person lacks capacity, the law enforcement officer:
 - A. May take the person into protective custody; and
 - B. If the law enforcement officer does take the person into protective custody, shall deliver the person immediately for examination by a medical practitioner as provided in section 3863 or, for a person taken into protective custody who has an advance health care directive authorizing mental health treatment, for examination as provided in Title 18A, section 5-802, subsection (d) to determine the individual's capacity and the existence of conditions specified in the advance health care directive for the directive to be effective. The examination may be performed by a licensed physician, a licensed elinical psychologist, a physician's assistant, a nurse practitioner or a certified psychiatric clinical nurse specialist.

When, in formulating probable cause, the law enforcement officer reliesmay rely upon information provided by a 3rd-party informant; if the officer shall confirmconfirms that the informant has reason to believe, based upon the informant's recent personal observations of or conversations with a person, personal knowledge sufficient to justify a belief that the person may be mentally ill and that due to that condition the person presents a threat of imminent and substantial physical harm to that person or to other persons.

- **Sec. 12. 34-B MRSA §3862, sub-§3,** as enacted by PL 1983, c. 459, §7, is amended to read:
- **3. Certificate executed.** If the certificate is executed by the examiner under section 3863, the officer shall undertake forthwith to secure the endorsement of a judicial officer under section 3863 and may detain the person for a reasonable period of time, not to exceed 18 hours, pending as may be necessary to obtain that endorsement.
- **Sec. 13. 34-B MRSA §3863, sub-§1,** as amended by PL 2007, c. 319, §9, is further amended to read:

- **1. Application.** Any health officer, law enforcement officer or other person may make a written applicationapply to admit a person to a psychiatric hospital, subject to the prohibitions and penalties of section 3805, stating:
 - A. The person's applicant's belief that the person is mentally ill and, because of the person's illness, poses a likelihood of serious harm; and
 - B. The grounds for this belief.
- **Sec. 14. 34-B MRSA §3863, sub-§2,** as amended by PL 2007, c. 319, §9, is further amended to read:
- **2. Certifying examination.** The written application must be accompanied by a dated certificate, signed by a licensed physician, physician's assistant, certified psychiatric clinical nurse specialist, nurse medical practitioner or licensed clinical psychologist, stating:
 - A. The physician, physician's assistant, certified psychiatric clinical nurse specialist, nurse That the practitioner or psychologist has examined the person on the date of the certificate; and
 - B. The physician, physician's assistant, certified psychiatric clinical nurse specialist, nurse That the medical practitioner or psychologist is of the opinion that the person is mentally ill and, because of that illness, poses a likelihood of serious harm. The written certificate must include a description of the grounds for that opinion;
 - C. That adequate community resources are unavailable for care and treatment of the person's mental illness; and
 - <u>D</u>. The grounds for the practitioner's opinion, which may be based on personal observation or on history and information from other sources considered reliable by the examiner.
 - **Sec. 15. 34-B MRSA §3863, sub-§5,** as amended by PL 2007, c. 319, §9, is repealed.
 - **Sec. 16. 34-B MRSA §3863, sub-§5-A** is enacted to read:
- **5-A.** Continuation of hospitalization. If there is need for further hospitalization of the person as determined by the chief administrative officer of the hospital, the chief administrative officer shall first determine if the person may be informally admitted under section 3831. If informal admission is not suitable or is refused by the person, the chief administrative officer may seek involuntary commitment in accordance with this subsection.
 - A. If the person is at a state mental health institute, the chief administrative officer may seek involuntary commitment by applying for an order under section 3864.
 - B. If the person is at a designated nonstate mental health institution, the chief administrative officer may seek involuntary commitment only by requesting the commissioner to apply for an order under section 3864.

- C. An application under this subsection must be made to the District Court having territorial jurisdiction over the psychiatric hospital to which the person is admitted on an emergency basis and must be filed within 3 days from the date of admission of the patient under this section, except that, if the 3rd day falls on a weekend or holiday, the application must be filed on the next business day following that weekend or holiday. If no application to the District Court is timely filed, the person must be promptly discharged.
- **Sec. 17. 34-B MRSA §3863, sub-§6,** ¶**E,** as enacted by PL 1983, c. 459, §7, is amended to read:
 - E. One of Either the next of kin or a friend, if none of the listed persons exists no guardian or immediate family member is known or can be quickly located.
- **Sec. 18. 34-B MRSA §3863, sub-§7,** as amended by PL 2007, c. 319, §9, is further amended to read:
- **7. Post-admission examination.** Every patient admitted to a psychiatric hospital <u>under this section</u> must be examined as soon as practicable after the patient's admission. <u>If findings required for admission under subsection 2 are not certified in a 2nd opinion by a staff physician or licensed clinical psychologist within 24 hours after admission, the person must be immediately discharged.</u>
 - A. The chief administrative officer of the psychiatric hospital shall arrange for examination by a staff physician or licensed clinical psychologist of every patient hospitalized under this section.
 - B. The examiner may not be the certifying examiner under this section or under section 3864.
 - C. If the post-admission examination is not held within 24 hours after the time of admission, or if a staff physician or licensed clinical psychologist fails or refuses after the examination to certify that, in the staff physician's or licensed clinical psychologist's opinion, the person is mentally ill and due to the person's mental illness poses a likelihood of serious harm, the person must be immediately discharged.
- **Sec. 19. 34-B MRSA §3863, sub-§8,** as amended by PL 2009, c. 276, §1, is further amended to read:
- **8. Rehospitalization from progressive treatment program.** The assertive community treatment An ACT team physician, psychologist, certified psychiatric clinical nurse specialist or nurse practitioner or the commissioner may make a written applicationapply under this section to admit to a state mental health institute a personpatient who fails to fully participate in the progressive treatment program in accordance with section 3873, subsection 53873A. The provisions of this section apply to that application, except that the standard for admission is governed by section 3873, subsection 5, paragraph B.
- **Sec. 20. 34-B MRSA §3864, sub-§1,** as amended by PL 2007, c. 319, §10, is further amended to read:
- **1. Application.** An application to the District Court to admit a person to a psychiatric hospital, filed under section 3863, subsection 55A, paragraph B, must be accompanied by:

- A. The emergency application under section 3863, subsection 1;
- B. The accompanying certificate of the physician or psychologistmedical practitioner under section 3863, subsection 2;
- C. The certificate of the physician or psychologist under section 3863, subsection 7-that:;
 - (1) The physician or psychologist has examined the patient; and
 - (2) It is the opinion of the physician or psychologist that the patient is a mentally ill person and, because of that patient's illness, poses a likelihood of serious harm;
- D. A written statement, signed by the chief administrative officer of the psychiatric hospital, certifying that a copy of the application and the accompanying documents have been given personally to the patient and that the patient and the patient's guardian or next of kin, if any, have been notified of the patient's right to retain an attorney or to have an attorney appointed, of the patient's right to select or to have the patient's attorney select an independent examiner and regarding instructions on how to contact the District Court; and:
 - (1) The patient's right to retain an attorney or to have an attorney appointed;
 - (2) The patient's right to select or to have the patient's attorney select an independent examiner; and
 - (3) How to contact the District Court; and
- E. A copy of the notice and instructions given to the patient.
- **Sec. 21. 34-B MRSA §3864, sub-§4,** as amended by PL 2007, c. 472, §1, is further amended to read:
 - **4. Examination.** Examinations under this section are governed as follows.
 - A. Upon receipt by the District Court of the application and the accompanying documents specified in subsection 1 and at least 3 days after the person who is the subject of the examination was notified by the psychiatric hospital of the proceedings and of that person's right to retain counsel or to select an examiner, the court shall cause the person to be examined by 2 examiners a medical practitioner. If the application includes a request for an order for involuntary treatment under subsection 7-A, the practitioner must be a medical practitioner who is qualified to prescribe medication relevant to the patient's care. If the person under examination or the counsel for that person selects a qualified examiner who is reasonably available, the court shall give preference to choosing that examiner.

- (1) Except as provided in subparagraph (1-A), each examiner must be either a licensed physician or a licensed clinical psychologist.
- (1-A) If the application requests an order for involuntary treatment pursuant to subsection 1-A, one examiner must be a licensed physician or a licensed clinical psychologist and one examiner must be a person who is qualified to prescribe medication relevant to the patient's care as a licensed physician, certified nurse practitioner or registered physician assistant.
- (2-A) If the person under examination or the counsel for that person selects a qualified examiner who is reasonably available, then the court shall choose that examiner as one of the 2 designated by the court.
- (3) Neither examiner appointed by the court may be the certifying examiner under section 3863, subsection 2 or 7.
- B. The examination must be held at thea psychiatric hospital or at any other suitable place not likely to have a harmful effect on the mental health of the person.
- E. The examiner shall report to the court on:
 - (1) Whether the person is a mentally ill person within the meaning of section 3801, subsection 5;
 - (2) When the establishment of a progressive treatment plan under section 38733873A is at issue, whether a person is suffering from a severe and persistent mental illness within the meaning of section 3801, subsection 8A;
 - (3) Whether the person poses a likelihood of serious harm within the meaning of section 3801, subsection 44-A; and
 - (4) When involuntary treatment is at issue, whether the need for such treatment meets the criteria of subsection 7A, paragraphs A and B.;
 - (5) Whether adequate community resources are available for care and treatment of the person's mental illness; and
 - (6) Whether the person's clinical needs may be met by an order under section 3873-A to participate in a progressive treatment program.

- G. Opinions of the examiner may be based on personal observation or on history and information from other sources considered reliable by the examiner.
- **Sec. 22. 34-B MRSA §3864, sub-§5, ¶A,** as amended by PL 2009, c. 281, §3, is further amended to read:
 - A. The District Court shall hold a hearing on the application not later than 14 days from the date of the application. The District Court may separate the hearing on commitment from the hearing on involuntary treatment.
 - (1) On For good cause shown, on a motion by any party or by the court on its own motion, the hearing on commitment or on involuntary treatment may be continued for cause for a period not to exceed 1021 additional days.
 - (1-A) On a motion by any party or by the court on its own motion, the hearing on involuntary treatment may be continued for cause for a period not to exceed 21 days from the date of entry of the order on the application for commitment.
 - (2) If the hearing on commitment is not held within the time specified, or within the specified continuance period, the court shall dismiss the application and order the person discharged forthwith.
 - (2-A) If the hearing on involuntary treatment is not held within the time specified, or within the specified continuance period, the court shall dismiss the application for involuntary treatment.
 - (3) In computing the time periods set forth in this paragraph, the Maine Rules of Civil Procedure apply.

[PL 2009, c. 281, § 3 (AMD).]

- **Sec. 23. 34-B MRSA §3864, sub-§6,** as amended by PL 2007, c. 319, §10, is further amended to read:
- **6. Court findings.** Procedures dealing with the District Court's findings under this section are as follows.
 - A. The District Court shall so state in the record, if it finds upon completion of the hearing and consideration of the record:
 - (1) Clear and convincing evidence that the person is mentally ill and that the person's recent actions and behavior demonstrate that the person's illness poses a likelihood of serious harm;

- (1-A) That adequate community resources for care and treatment of the person's mental illness are unavailable;
- (2) That inpatient hospitalization is the best available means for treatment of the patient; and
- (3) That it is satisfied with the individual treatment plan offered by the psychiatric hospital to which the applicant seeks the patient's involuntary commitment.
- B. If the District Court makes the findings described in paragraph A, subparagraphs $\frac{1}{1}$, $\frac{1}{1}$ and $\frac{2}{2}$, but is not satisfied with the individual treatment plan as offered, it may continue the case for not longer than 10 days, pending reconsideration and resubmission of an individual treatment plan by the psychiatric hospital.
- C. If the District Court makes the findings in section 3873A, subsection 1, the court may issue an order under section 3873A requiring the person to participate in a progressive treatment program.
- **Sec. 24. 34-B MRSA §3864, sub-§7,** as amended by PL 2007, c. 319, §10, is further amended to read:
- **7. Commitment.** Upon making the findings described in subsection 6, <u>paragraph A</u>, the court may order commitment to a psychiatric hospital for a period not to exceed 4 months in the first instance and not to exceed one year after the first and all subsequent hearings.
 - A. The court may issue an order of commitment immediately after the completion of the hearing, or it may take the matter under advisement and issue an order within 24 hours of the hearing.
 - B. If the court does not issue an order of commitment within 24 hours of the completion of the hearing, it shall dismiss the application and order the patient discharged immediately.
- **Sec. 25. 34-B MRSA §3864, sub-§7-A, ¶C,** as enacted by PL 2007, c. 446, §4 and affected by §7, is amended to read:
 - C. The <u>hospital and personparties</u> may agree to <u>changes inchange</u>, <u>terminate or extend</u> the treatment plan during the time period of an order for involuntary treatment.
- **Sec. 26. 34-B MRSA §3864, sub-§7-A, ¶D,** as enacted by PL 2007, c. 446, §4 and affected by §7, is amended to read:
 - D. If a change in the treatment plan is needed and the hospital and patient do not agree on the change, the hospital shallFor good cause shown, any party may apply to the court for ato change inor terminate the treatment plan.
- **Sec. 27. 34-B MRSA §3871, sub-§6,** as enacted by PL 2005, c. 519, Pt. BBBB, §13 and affected by §20, is amended to read:

- **6. Discharge to progressive treatment program.** If a person participates in the progressive treatment program under section 38733873A, the time period of a commitment under this section terminates on entry into the progressive treatment program.
 - **Sec. 28. 34-B MRSA §3873,** as amended by PL 2009, c. 276, §2 and c. 321, §§1 to 4, is repealed.
 - Sec. 29. 34-B MRSA §3873-A is enacted to read:

§ 3873-A. Progressive treatment program

- 1. Application. The superintendent or chief administrative officer of a psychiatric hospital, the commissioner or the director of an ACT team, except as limited by subsection 10, may obtain an order from the District Court to admit a patient to a progressive treatment program upon the following conditions:
 - A. The patient suffers from a severe and persistent mental illness;
 - B. The patient poses a likelihood of serious harm;
 - C. The patient has the benefit of a suitable individualized treatment plan;
 - D. Community resources are available to support the treatment plan;
 - E. The patient is unlikely to follow the treatment plan voluntarily;
 - F. Court-ordered compliance will help to protect the patient from interruptions in treatment, relapses or deterioration of mental health; and
 - G. Compliance will enable the patient to survive more safely in a community setting without posing a likelihood of serious harm.
- 2. Contents of the application. The application must be accompanied by a certificate of a medical practitioner providing the facts and opinions necessary to support the application. The certificate must indicate that the examiner's opinions are based on one or more recent examinations of the patient or upon the examiner's recent personal treatment of the patient. Opinions of the examiner may be based on personal observation or on history and information from other sources considered reliable by the examiner.

The applicant must also provide a written statement certifying that a copy of the application and the accompanying documents have been given personally to the patient and that the patient and the patient's guardian or next of kin, if any, have been notified of:

- A. The patient's right to retain an attorney or to have an attorney appointed;
- B. The patient's right to select or to have the patient's attorney select an independent examiner; and
- C. How to contact the District Court.

- 3. Notice of hearing. Upon receipt by the District Court of the application or any motion relating to the application, the court shall cause written notice of hearing to be mailed within 2 days to the applicant, to the patient and to the following persons if known: to anyone serving as the patient's guardian and to the patient's spouse, a parent or an adult child, if any. If no immediate relatives are known or can be located, notice must be mailed to a person identified as the patient's next of kin or a friend, if any are known. If the applicant has reason to believe that notice to any individual would pose risk of harm to the patient, notice to that individual may not be given. A docket entry is sufficient evidence that notice under this subsection has been given.
 - **4. Examinations.** Examinations under this section are governed as follows.
 - A. Upon receipt by the District Court of the application and the accompanying documents specified in subsection 1 and at least 3 days after the person who is the subject of the examination is notified by the applicant of the proceedings and of that person's right to retain counsel or to select an examiner, the court shall cause the person to be examined by a medical practitioner. If the person under examination or the counsel for that person selects a qualified examiner who is reasonably available, the court shall give preference to choosing that examiner.
 - B. The examination must be held at a psychiatric hospital, a crisis center, an ACT team facility or at another suitable place not likely to have a harmful effect on the mental health of the patient.
 - C. The examiner shall report to the court on:
 - (1) Whether the patient is a mentally ill person within the meaning of section 3801, subsection 5;
 - (2) Whether the patient is suffering from a severe and persistent mental illness within the meaning of section 3801, subsection 8A; and
 - (3) Whether the patient poses a likelihood of serious harm within the meaning of section 3801, subsection 4A.
 - **5. Hearings.** Hearings under this section are governed as follows.
 - A. The District Court shall hold a hearing on the application or any subsequent motion not later than 14 days from the date when the application or motion is filed. For good cause shown, on a motion by any party or by the court on its own motion, the hearing may be continued for a period not to exceed 21 additional days. If the hearing is not held within the time specified, or within the specified continuance period, the court shall dismiss the application or motion. In computing the time periods set forth in this paragraph, the Maine Rules of Civil Procedure apply.
 - B. The hearing must be conducted in as informal a manner as may be consistent with orderly procedure and in a physical setting not likely to harm the mental health of the patient. The applicant shall transport the patient to and from the place of hearing. If the patient is released following the hearing, the patient must be transported to the patient's place of residence if the patient so requests.

- C. The court shall conduct the hearing in accordance with accepted rules of evidence. The patient, the applicant and all other persons to whom notice is required to be sent must be afforded an opportunity to appear at the hearing to testify and to present and cross-examine witnesses. The court may, in its discretion, receive the testimony of any other person and may subpoena any witness.
- D. The patient must be afforded an opportunity to be represented by counsel, and, if neither the patient nor others provide counsel, the court shall appoint counsel for the patient.
- E. At the time of hearing, the applicant shall submit to the court expert testimony to support the application and to describe the proposed individual treatment plan. The applicant shall bear the expense of providing witnesses for this purpose.
- F. The court may consider, but is not bound by, an advance directive or durable power of attorney executed by the patient and may receive testimony from the patient's guardian or attorney in fact.
- G. A stenographic or electronic record must be made of the proceedings. The record and all notes, exhibits and other evidence are confidential and must be retained as part of the District Court records for a period of 2 years from the date of the hearing.
- H. The hearing is confidential and a report of the proceedings may not be released to the public or press, except by permission of the patient or the patient's counsel and with approval of the presiding District Court Judge, except that the court may order a public hearing on the request of the patient or patient's counsel.
- I. Except as provided in this subsection, the provisions of section 3864, subsections 10 and 11 apply to expenses and the right of appeal.
- 6. Order. After notice, examination and hearing, the court may issue an order effective for a period of up to 12 months directing the patient to follow an individualized treatment plan and identifying incentives for compliance and potential consequences for noncompliance.
 - 7. Compliance. To ensure compliance with the treatment plan, the court may:
 - A. Order that the patient be committed to the care and supervision of an ACT team or other outpatient facility with such restrictions or conditions as may be reasonable and necessary to ensure plan compliance;
 - B. Issue an order of emergency commitment under section 3863 conditioned on receiving a certificate from a medical practitioner that the patient has failed to comply with an essential requirement of the treatment plan; and
 - C. Order that any present or conditional restrictions on the patient's liberty or control over the patient's assets or affairs be suspended or ended upon achievement of the designated goals under the treatment plan.

- 8. Consequences. In addition to any conditional remedies contained in the court's order, if the patient fails to comply with the treatment plan, the applicant may file with the court a motion for enforcement supported by a certificate from a medical practitioner identifying the circumstances of noncompliance. If after notice and hearing the court finds that the patient has been noncompliant and that the patient presents a likelihood of serious harm, the court may authorize emergency hospitalization under section 3863 if the practitioner's certificate supporting the motion complies with section 3863, subsection 2. Nothing in this section precludes the use of protective custody by law enforcement officers under section 3862.
- 9. Motion to dissolve, modify or extend. For good cause shown, any party to the application may move to dissolve or modify an order or to extend the term of the treatment plan for an additional term of up to one year.
- 10. Limitation. The director of an ACT team may apply to the District Court to obtain an order under subsection 1 to admit a patient to a progressive treatment program only if:
 - A. The ACT team was in existence on the effective date of this section;
 - B. The ACT team complies with nationally recognized essential standards and basic principles for the provision of mental health services at the ACT team level as identified in rules adopted by the department; and
 - C. The ACT team meets the criteria for ACT teams set forth in section 3801, subsection 11 and applicable state rules and federal laws and regulations.

Rules adopted pursuant to this subsection are routine technical rules as defined in Title 5, chapter 375, subchapter 2-A.

- **Sec. 30. Application.** All progressive treatment plans in effect July 1, 2010 must be continued under the provisions of the Maine Revised Statutes, Title 34B, section 3873A.
- **Sec. 31. Report.** The Department of Health and Human Services shall conduct a review and analysis of the progressive treatment program established under the Maine Revised Statutes, Title 34B, section 3873A and shall report to the joint standing committee of the Legislature having jurisdiction over health and human services matters by January 1, 2012. The review process must include the collection and analysis of data regarding participants in the progressive treatment program over periods of time prior to, during and after participation in the program. The review process must include work with a broad group of stakeholders to compile a list of resources that would be needed if the State were to implement assisted outpatient mental health treatment for persons who have been ordered by a court to receive mental health treatment outside of a psychiatric hospital.
- **Sec. 32. Emergency rule-making authority.** The Department of Health and Human Services shall adopt emergency rules on or before October 1, 2010 under the Maine Revised Statutes, Title 5, sections 8054 and 8073 in order to implement rulemaking under Title 34B, section 3873A, subsection 10 relating to ACT team compliance with nationally recognized essential standards and basic principles for the provision of mental health services at the ACT team level. The department may adopt the rules

without having to demonstrate that immediate adoption is necessary to avoid a threat to public health, safety or general welfare. The rules must identify nationally recognized essential standards and basic principles for ACT teams providing mental health services under the progressive treatment program pursuant to Title 34B, section 3873A.

Sec. 33. Delayed implementation. Notwithstanding the Maine Revised Statutes, Title 34-B, section 3873-A, subsection 1, the director of an ACT team may not apply to the District Court to obtain an order to admit a patient to a progressive treatment program until the Department of Health and Human Services adopts rules pursuant to Title 34-B, section 3873-B, subsection 10 identifying nationally recognized essential standards and basic principles for the provision of mental health services by ACT teams and until the transition of claims processing under the Department of Health and Human Services MaineCare program to the department's new system in fiscal year 2010-11.

Sec. 34. Appropriations and allocations. The following appropriations and allocations are made.

JUDICIAL DEPARTMENT

Courts - Supreme, Superior and District 0063

Initiative: Deappropriates funds due to an anticipated reduction in the number of psychological examinations.

GENERAL FUND	2009-10	2010-11
All Other	\$0	(\$87,600)
GENERAL FUND TOTAL	\$0	(\$87,600)

Emergency clause. In view of the emergency cited in the preamble, this legislation takes effect when approved.'

SUMMARY

This amendment is the majority report of the committee. The amendment replaces the bill. It provides a definition for "medical practitioner" in the laws on commitment for mental illness. It redefines "likelihood of serious harm," "mentally ill person" and "patient." It decreases the number of examiners for the purposes of mental health commitment from 4 to 2. It increases the time period, with an extension, for a hearing on court commitment from 24 days total to 35 days total. It establishes a new option for the court in a civil commitment hearing, the option of ordering the person to participate in a program of outpatient treatment, and provides for compliance and consequences for noncompliance with the treatment program. It allows an alternative community treatment team or the Commissioner of Health and Human Services to petition the court for an order that an alternative community treatment team participant be ordered to participate in outpatient treatment. It extends the time period in which the District Court must hold a hearing under the progressive treatment program from 14 to 21 days. It decreases the examiners for civil

commitment under the Maine Revised Statutes, Title 34B, section 3864 from 2 examiners to one and gives preference to the patients' choice of examiner. It extends the time period for the progressive treatment program from 6 months to 12 months. It requires a comprehensive report from the Department of Health and Human Services by January 1, 2012. It delays implementation of the provisions that authorize an ACT team director to apply for a court order to admit a patient to a progressive treatment program until rulemaking has been completed and the new MaineCare claims management process is functioning. The amendment authorizes emergency rulemaking to adopt rules regarding nationally recognized essential standards and basic principles for ACT teams.

FISCAL NOTE REQUIRED (See attached)