

PLEASE NOTE: Legislative Information **cannot** perform research, provide legal advice, or interpret Maine law. For legal assistance, please contact a qualified attorney.

Amend the bill in the first line after the enacting clause (page 1, line 2 in L.D.) by striking out the following: "**PART A**"

Amend the bill in Part A in section 1 in §3-314 by inserting after subsection 3 the following:

**‘4. Enforcement.** The appropriate state regulators within the Department of Professional and Financial Regulation shall enforce this section for any person that is licensed or regulated by those regulators. The Attorney General shall enforce this section for all other persons.’

Amend the bill in Part A in section 2 in §9-310 by inserting after subsection 3 the following:

**‘4. Enforcement.** The appropriate state regulators within the Department of Professional and Financial Regulation shall enforce this section for any person that is licensed or regulated by those regulators. The Attorney General shall enforce this section for all other persons.’

Amend the bill in Part A in section 3 in §10-306 by inserting after subsection 3 the following:

**‘4. Enforcement.** The appropriate state regulators within the Department of Professional and Financial Regulation shall enforce this section for any person that is licensed or regulated by those regulators. The Attorney General shall enforce this section for all other persons.’

Amend the bill in Part A in section 4 in §11-122 by inserting after subsection 3 the following:

**‘4. Enforcement.** The appropriate state regulators within the Department of Professional and Financial Regulation shall enforce this section for any person that is licensed or regulated by those regulators. The Attorney General shall enforce this section for all other persons.’

Amend the bill in Part A in section 6 in §162-A by inserting after subsection 2 the following:

**‘3. Enforcement.** The appropriate state regulators within the Department of Professional and Financial Regulation shall enforce this section for any person that is licensed or regulated by those regulators. The Attorney General shall enforce this section for all other persons.’

Amend the bill in Part A by striking out all of sections 9 to 12.

Amend the bill in Part A in section 17 in subsection 9 in paragraph A in the first line (page 9, line 9 in L.D.) by striking out the following: "person subject to" and inserting the following: "broker-dealer licensed or required to be licensed under this chapter and an investment adviser licensed or required to be licensed under"

Amend the bill by striking out all of Part B.

Amend the bill by relettering or renumbering any nonconsecutive Part letter or section number to read consecutively.

## **SUMMARY**

This amendment is the minority report of the committee. The amendment clarifies the enforcement authority of regulators within the Department of Professional and Financial Regulation and the Attorney General. The amendment removes certain provisions of the bill to preserve the privacy protections already available to consumers in the Maine Insurance Information and Privacy Protection Act and makes technical changes to conform the language to current law.

The amendment also removes the provision in the bill making it contingent upon approval by voters at a statewide referendum.